

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James G. Anderson
John P. Nicholson

Serial No: TBD

Filed: Herewith

For: METHOD AND APPARATUS FOR
CRUCIBLE FORMING

Examiner: TBD

Group Art Unit: TBD



**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Honorable Asst. Commissioner of Patents and Trademarks
Patent and Trademark Office
Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

Timothy Krogh
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SP-TI-03-1
Corning, NY 14831
(607) 974-2743

Date: August 30, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on November 1, 2000 August 30, 2001
Date of Deposit


Timothy Krogh

Name of applicant, assignee, or
Registered Representative

Signature

August 30, 2001
Date of Signature

FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO. TBD
	SP01-249	
	APPLICANT Anderson et al.	
	FILING DATE Herewith	GROUP TBD

1036 U.S. PTO
 09/943255


REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	3,957,342	05-18-76	G.R. Newns et al.	350	96	
	AB	3,999,835	12-28-76	G.R. Newns et al.	350	96	
	AC	4,023,952	05-17-77	G.R. Newns et al.	65	32	
	AD	4,101,305	07-18-78	J.E. Midwinter et al.	65	145	
	AE	4,118,212	10-03-78	H. Aulich et al.	65	3	
	AF	4,125,200	11-14-78	C.W. Manely	215	246	
	AG	4,145,200	03-20-79	T. Yamazaki et al.	65	2	
	AH	4,217,123	08-12-80	J.G. Titchmarsh	65	3	
	AI	4,259,100	03-31-81	H. Aulich	65	11	
	AJ	4,275,951	06-30-81	K.J. Beales et al.	350	96.31	
	AK	4,277,270	07-07-81	D.A. Krohn	65	3	

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL	99/51537	10-14-99	PCT			X
	AM	1,448,080	09-02-76	Great Britain			X
	AN	1,156,467	11-08-83	Canada			X
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR		J.E. Midwinter et al., "Fiber Pulling by Double-Crucible Apparatus", <u>Optical Fibers for Transmission</u> , pp. 167-179
	AS		
	AT		

EXAMINER: _____ DATE CONSIDERED: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	4,289,516	09-15-81	D.A. Krohn	65	3	
	AB	4,308,045	12-29-81	S.E. Miller	65	3.11	
	AC	4,326,869	04-27-82	S. Kurosaki et al.	65	3.14	
	AD	4,351,659	09-28-82	K.J. Beales et al.	65	3.13	
	AE	4,372,767	02-08-83	M.S. Maklad	65	3.12	
	AF	4,407,667	10-04-83	G. LeNoane et al.	65	3.11	
	AG	4,452,508	06-05-84	K.J. Beales et al.	350	96.31	
	AH	4,466,818	08-21-84	H.H. Brongersma	65	3.13	
	AI	4,729,777	03-08-88	Y. Mimura et al.	65	3.13	
	AJ	4,768,859	09-06-88	M. Kasori et al.	350	96.34	
	AK	4,911,895	03-27-90	M. Kida et al.	422	245	

FOREIGN PATENT DOCUMENTS							
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	AL						
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J1136 U.S. PRO
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REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	4,950,047	08-21-90	G.E. Berkey et al.	350	96.23	
	AB	5,069,741	12-03-91	M. Kida et al.	156	617.1	
	AC	5,081,076	01-14-92	C.F. Rapp	501	40	
	AD	5,100,449	03-31-92	L.K. Cornelius et al.	65	2	
	AE	5,106,400	04-21-92	P.A. Tick	65	3.11	
	AF	5,149,349	09-22-92	G.E. Berkey et al.	65	3.11	
	AG	5,161,050	11-03-92	G. Grasso et al.	359	341	
	AH	5,609,665	03-11-97	A.J. Bruce et al.	65	379	
	AI	5,776,223	07-07-98	J. Huang	65	437	
	AJ	5,879,426	03-09-99	J.S. Sanghera et al.	65	405	
	AK	09/325,298		A. Kerdoncuff			7-3-99

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL						
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	AO						
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